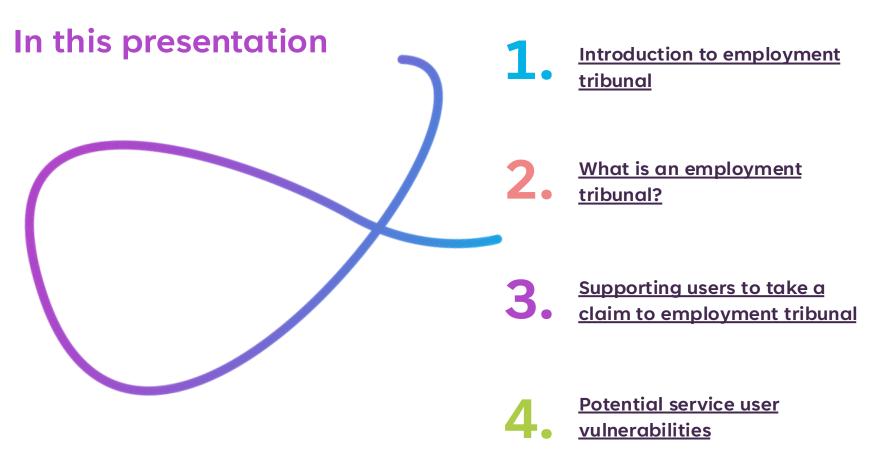


Partner Training – Employment tribunals



Employment tribunals – Network Partner Training Guide



Before you can start a claim at employment tribunal

Viewing the claim in progress

ET1 Form Portal
Starting a claim
atemploymenttribunal

10. Communicating with the tribunal

Using the IDAM account to progress the case

ET3 Form Portal

Viewing the employer's response

ET1 Legacy Form & ET3 – Legacy

Introduction to employment tribunals



Key partner role

The HMCTS National Digital Support Service, run in partnership with We Are Group, is extending the support offered to include starting or responding to a claim to an employment tribunal and uploading documents, as well as steps later in the process like viewing the employer's response, downloading documents, viewing details of their hearings, and contacting the tribunal before the hearing.

This means that you need to be ready to support those who need help to access these new services. As a partner, your role is to support users through the procedural journey of the **Employment Tribunal (ET1 & ET3)** online forms.

You can help by:

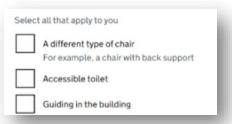
- Explaining the steps of the online form
- Supporting users to gather documentation
- Supporting the user to explain their application or response
- Making sure users understand what happens next

Only qualified professionals (e.g. solicitors or registered advocates) can offer legal advice or represent at hearings.



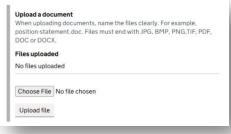


Common input methods found on Gov.uk forms



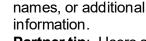
Your current postcode		Ì
I live outside the UK		ı
Continue		ı





Multiple choice (radio buttons / checkboxes)

What it is: User selects one or more answers from a list. Partner tip: Read all options aloud for accessibility. Clarify where multiple selections are allowed



Free text boxes

Partner tip: Users should explain things in plain language. Offer to type if they dictate and consent.

What it is: Open fields where

users can type explanations,

Drop-down menus

What it is: Click-to-expand menus with a list of predefined options.

Partner tip: Make sure users scroll fully through the list. Explain unfamiliar terms as needed.

File upload

What it is: Users can attach supporting documents.

Partner tip: Check files are in supported formats (PDF, Word, JPEG, etc.). Ensure filenames are clear and professional.



Common input methods found on Gov.uk forms (continued)



	ourt to deliver papers to the other party d your application
You will need request.	t to fill in the form D89 and upload it when submitting this
Have you	already completed the D89 form?
O Yes	○ No
Continue	Cancel

mail address	Important	
ead our <u>privacy policy</u> and <u>terms and conditions</u>	You must give the document to the other party You can do this by sending it to the party's legal representative if they have one, or by posting or emailing the document directly to the party	
Continue	Close and return to case overview Upload another document	

Date Selectors

What it is: Date-picking tools (e.g. calendar pop-ups or input boxes) used for deadlines or decision dates.

Partner tip: Confirm correct format (day/month/year). Crosscheck dates in documents to avoid errors.

Yes/No Toggle Questions

What it is: Simple binary questions throughout the form.

Partner tip: Ensure users have read the page fully before they make a decision.

Email Verification Fields

What it is: Email entry followed by a confirmation code or verification link.

Partner tip: Ensure users have live access to their email during the session. Offer help navigating between browser tabs if needed.

Buttons

What it is: Clickable elements used to take action in the form — e.g. to continue, go back, upload files, save progress, or submit the application or response.

Partner tip: Explain what each button does before clicking. Make sure required fields are completed to avoid errors when clicking "Continue" or "Submit."





What is an employment tribunal?



What is an employment tribunal?



A user may need help to start a claim at an employment tribunal. Employment tribunals deal with workplace disputes.

Someone might take a case to the employment tribunal if:

- They have not been given the rights and pay as described in their contract.
- They have been badly treated at work, such as being discriminated against or harassed.
- They have not been paid correctly, including redundancy pay.
- They have unfairly lost their job or have been treated so unfairly they felt they had to quit.
- They have not been allowed their rights as a pregnant member of staff or a parent.

People usually take the organisation they work for (or did work for) to tribunal, but they can also take an employer they applied for a job with, or their trade union. For more information about taking a case to a tribunal, see Advicenow's How to go to an employment tribunal.

If you are completing a **remote** support session, the user needs to have their own device. Your role is to verbally walk them through the process step by step. You **cannot** fill the form in on behalf of the remote user.



Supporting users to take a claim to employment tribunal



Supporting users to take a claim to employment tribunal



Support with smaller tasks in the process will mostly be provided over the phone by We Are Group staff. Small tasks include viewing updates, logging in, and resetting a password.

Longer tasks will usually require face-to-face appointments with partner centres. Longer tasks include starting an employment tribunal claim (this is quite a long process and may take more than one appointment) and making applications to the tribunal once the case has started (for example to ask the employer to provide evidence requested, or follow the tribunal's orders).

If you are completing a remote support session, the user needs to have their own device. Your role is to verbally walk them through the process step by step. You CANNOT fill the form in on behalf of the user.

This training will focus mainly on the longer tasks that you will be providing support for.



Supporting users to take a claim to employment tribunal



The user will need to have brought their Acas early conciliation number with them, unless they are exempt. The number is on the early conciliation certificate. This should have been explained to them when they booked the appointment.

The only exemptions are:

- If the user is making a claim with another person who already has an Acas early conciliation number
- Acas do not have the power to provide early conciliation on some or all of their claim
- The organisation or person the claim will be made against has already been in touch with Acas
- They are making an unfair dismissal claim which includes a claim for interim relief (this can only be requested in very specific circumstances and only within 7 days of being unfairly dismissed).

If one of the above exemptions does not apply, they will not be able to start a claim until they have the Acas number.



Potential service user vulnerabilities



Potential service user vulnerabilities

Disputes

Users will have been through a difficult experience and are likely to be feeling unhappy and **frustrated** about having to take a case to tribunal.

Making it clear that you empathise with them (and recognise how frustrating it is) will help.

Stress

They may be feeling stressed about starting this complicated legal process. If they have experienced discrimination they may be feeling particularly unhappy or angry about their treatment.

Asking if they have found it stressful. empathising, making them feel supported will help.

Time limits

There are time limits for starting a case at employment tribunal and if their's is close this could increase their stress.

Making it clear that vou understand and will support them to meet the deadline will help.

Confidence

The idea of being involved in a court process can be intimidating and could present as **low** confidence or mood.

It might also make them worried and dithery about each choice.

Being patient and reassuring will help. Remind them they might be able to settle before the hearing.

Wait times

Users are likely to want to reach a resolution as quickly as possible, so are likely to show impatience or anxiety about the long wait for a hearing.

Recognise these issues as a problem and remind them that lots of people resolve the dispute before a hearing. On the upside, the wait will give them time to organise their argument and evidence.



Before a user can start a claim at the employment tribunal



Before a user can start a claim at the tribunal



Time limits

There are time limits for taking a case to the employment tribunal.

You usually need to start your case within 3 months of the thing you are complaining about.

So, if the user thinks they have been unfairly dismissed (sacked) they have 3 calendar months minus one day from your last day of work. If they are complaining about ongoing behaviour (for example, <u>bullying or harassment</u>), they need to start the case within 3 calendar months minus one day of the *last time* this happened.

If the user's case is about <u>redundancy pay</u> or <u>equal pay</u>, they have to start their case within 6 months. That is 6 months minus one day.

There are exceptions to this, so if they think they are too late, tell them to get advice. Acas helpline will be able to advise on this. Acas are an organisation funded by the government to help resolve workplace disputes.



Before a user can start a claim at the tribunal



Inform Acas

The user must tell Acas that they want to take a case to the employment tribunal before they can start a case. The 3 or 6 months time limit is paused when they tell Acas you want to take a case to the employment tribunal to allow time for 'early conciliation'.

If a user has not already informed Acas explain that they need to using Acas' online form.

The user then has a choice about whether they try early conciliation or not. Early conciliation is when Acas speak to both sides on the phone to see if you could come to an agreement. It usually takes place over 6 weeks. Any agreement come to through Acas is legally binding, which means both sides have to stick to it. It is a good idea to try early conciliation if their employer is willing. (Not all employers are willing, but they may be more willing once the case has started.)

If they don't reach an agreement, Acas will provide them with a number on an 'early conciliation certificate'. You need that number to start a case at the employment tribunal. In most cases you must do it within one calendar month.



Before a user can start a claim at the tribunal



If they are still employed

If they are still employed, they are supposed to try to sort out any problems directly with their employer before they start a claim at employment tribunal.

They can do this by speaking to their manager or someone else about the problem informally or using their employer's formal grievance procedures.

The only reason not to do this would be if the time limit for starting a case at the employment tribunal was nearly up. (If this is the case and they have not yet told Acas, they should tell Acas they want to take a case to the employment tribunal as that stops the clock.)

They can start a case without having complained to the employer first. But if the tribunal decides it was unreasonable of them not to have followed their employer's grievance procedure (or disciplinary procedure if that is appropriate), any compensation they win could be reduced by up to 25%.



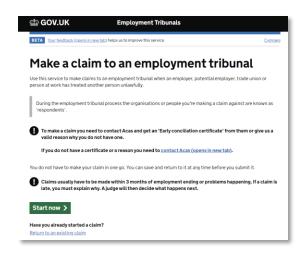




The first few screens will be familiar. They just help the user set up their account, or ask for basic details like their name, contact details and preferences.

NB: Their case is only started when they press the 'submit' button and get the 'your claim has been submitted' confirmation screen.

Next you get to a screen reminding the user what minimum information they need to have with them. They should have been told to bring all this with them when making the appointment.



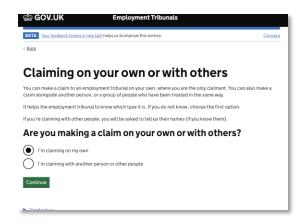




The applications asks if users are representing themselves or have a representative. Reassure the user that the employment tribunal is used to people representing themselves. They might be able to find someone to advise or help them after their appointment today – refer them to local services or send them a link to Advicenow's How to find free legal advice about an employment problem.

Next you are asked if they are claiming on their own or with others. They might claim with others if the same unlawful thing that happened to them, happened to lots of people. They could also take separate claims and ask for the tribunal to deal with all the cases together. They should not add anyone else to a claim without their express agreement.

Then you need to confirm whether they are taking the case in England and Wales or Scotland.





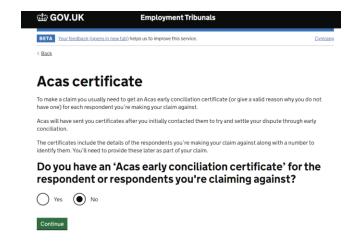




Next you have to confirm that you have the Acas early conciliation certificate for everyone you wish to take the claim against. If the user wishes to take a claim against two people or organisations (for example, the agency they work for and the organisation they placed them with) they will need to have completed early conciliation with both.

Very few people will not need an Acas early conciliation certificate. The next screen details the few exceptions.

If a user has not already informed Acas explain that they need to. They can do this using <u>Acas' online form</u>.







Next you are asked what type of claim they are making.

This is an important question.

If they need help working out which type of claim they have, look back at slide 7 or <u>Can I go to an employment</u> tribunal.

Select all that apply – it is quite hard to add something later.

Next you create an account and log in. If you stop before this none of your answers are saved and you will have to start again.

∰ GOV.UK	Employment Tribunals	
BETA Your feedback (opens in	new tab) helps us to improve this service.	Cymrae
Back		
What type	of claim are you making	?
ou can choose all that apply	to you. Further information will be asked for later in the claim.	
elect all that apply		
Breach of contract - in	cluding notice pay	
Discrimination of any for example because of	type of your sex, ethnicity, disability or other characteristic	
Pay-related claim		
Unfair dismissal including constructive	dismissal	
Whistleblowing including dismissal or	any other unfair treatment after whistleblowing	
Other type of claim		





Once you are logged in, you come to a screen like this that lists the remaining steps to making your claim.

We have broken up our guidance in the same way.

Your details

Employment and respondent details

Claim details

There is a check your answers screen before you submit, so that you can go back and change anything you need to before you submit. This means that if they aren't sure of something now, you can still make progress and finalise the claim later/another day.

There are also some questions marked 'optional' – you can either complete these now or after they submit their claim.



Steps to making your claim

Application Details

Claim type	Discrimination	
. Your details		
Personal details		NOT STARTE
Contact details		NOT STARTED
Your preference:	S	NOT STARTED
Employmon	t and respondent det	aile
. Employmen	t and respondent det	aits
Employment sta	tus	IN PROGRES
Respondent det		
Respondent det	ails S	NOT STARTE
Respondent det	ails S appened to you	NOT STARTE
Respondent det 3. Claim detail: Describe what h Tell us what you	alls S appened to you want from your	NOT STARTE! NOT STARTE!





Your details

You are asked some basic questions about their personal details, communication preferences etc.

The first question you come to that might cause the user some concern is about hearing format. You are asked if they would be able to take part in hearings by video or phone.

Most users find video hearings easier to follow than phone hearings but they will have to have a good internet connection and a computer.

∰ GOV.UK	Employment Tribunals	Sign out
BETA Your feedback (opens in	new tab) helps us to improve this service.	Cymraes
< Back		
Hearing for	rmat	
If your case goes to a hearing	, it can take place either:	
• in person, in a room at a tri	bunal ('face-to-face')	
	in from a place suitable to you)	
 by phone 		
Some hearings use a combine the type of claim.	ation of these methods. The approach taken will be decided	by a judge. It may depend on
Would you be a	able to take part in hearings by	video and
phone?		
Select all that apply		
Yes, I can take part in v	video hearings	
Yes, I can take part in p	phone hearings	
or		
No. I cannot take part	in either video or phone hearings	
If you choose this option	on please tell us why in case we can assist you	



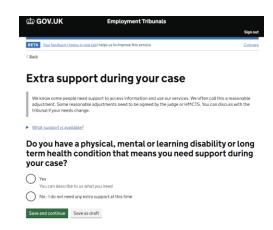


You are asked if they will need extra support during their case.

If they do have a disability, be sure to think through what help they might need.

- Will they need documents in a different format?
- A hearing loop or sign language interpreter?
- Will they need the hearing to be held in a wheelchair accessible space?
- Will they need extra breaks or shorter days?

After this you are asked if you have finished this section. If you need to return to it to change something, select no, otherwise it will mark it as completed. (You can still change your answers but you won't be reminded to).







Employment details

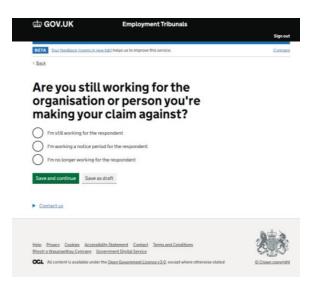
The next section covers the user's employment details.

The first question asks if they worked for the organisation they are making the claim against, and then if they are still working for the organisation or the person they are claiming against.

Depending which option the user chooses from the 3 provided, they will need to answer further questions to find out:

- if their written contract was in weeks or months.
- what their average weekly hours were; and
- what the amount of their pay and any pension contributions was.

These questions are optional, but it will help if the user can provide as much information as possible.

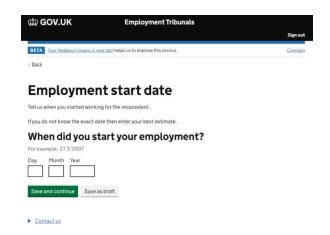






When asked to give the employment start date, you may need to check first whether the user's organisation had been taken over by another company while they were working there (or if they had been <u>TUPE'd</u> to another organisation).

If they say yes to this, you need to check what date they started at their *first* organisation (before the changeover happened) and input that one on this page.



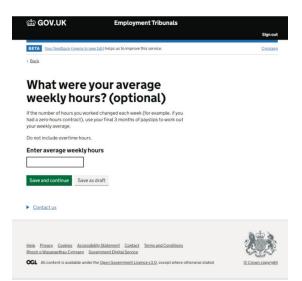




If the user is still working for the employer, the next question will ask if they have a written contract with a notice period.

If they do have a written contract, but don't know how long the notice period is OR if they are not sure whether they have a written contract, the user will then be asked what their average weekly hours were.

If their earnings varied each week, work this amount out by taking the average of the pay received in the last 12 weeks of employment. (You do this by adding up everything they earned in the 12 weeks and dividing it by 12).



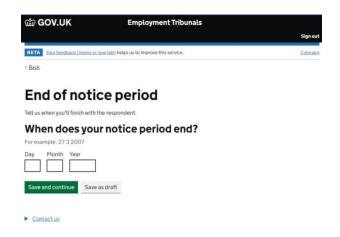




If they have already handed in their notice to the employer, they need to state in this section what the end date of the notice period will be.

It is important to check this date is correct as it will be the last date of their employment.

The start and end dates of employment are important as certain employment rights are only available to people have been employed for more than 2 years.

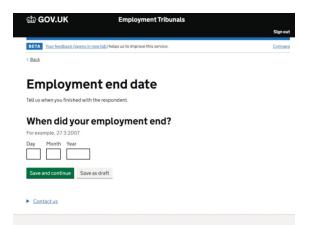






If they have already left their employment, the user needs to state in this section what date they finished working for the employer.

Again, this date is important to check.







You are asked about their pay. The user can find details of their pay before tax and after tax, and the frequency of their wage payments on their recent payslips.

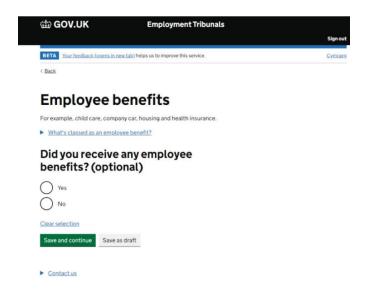
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< Back		
Your pay (o	ptional)	
weeks' pay. Provide your best	ribunal will need the average of your last 12 estimate for your average weekly pay. You will eded. Providing your pay information will help im.	
You can get information from commission and bonuses.	payslips. Include any overtime payments,	
Enter your pay BEFOR	E tax and National Insurance	
£	7	
Enter your pay AFTER	tax and National Insurance	
£		
Is this your weekly, mo	nthly or annual nav?	
-	many or annual pay.	
Weekly		
Monthly		
Annual		
Clear selection		
Save and continue Save	as draft	
► Contact us		





There are then further optional questions about any contributions the employer might have made into the user's pension, or any employee benefits provided with the job - for example, medical insurance or company car.

For users who have already left the employer, there are additional questions about whether they have started a new job and, if so, when.







The next section deals with the Respondent's details. The respondent is usually the organisation the user worked for.

It is very important that the name of the organisation, or the person's name, is given correctly here. The respondent's name should be exactly the same as the name given on the Acas early conciliation certificate.

For more information about who you can name as a respondent, see <u>Before you can start a case at</u> employment tribunal.



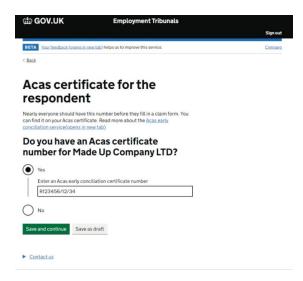




After providing the full business address details for the employer, the user will need to add the Acas early conciliation certificate number.

It is very important to double check that the Acas number is inputted correctly here.

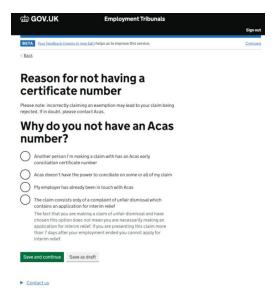
Making a mistake might mean the claim is rejected.







As mentioned earlier on <u>slide 21</u>, every user should have an Acas certificate number, unless they meet one of the few exception reasons. If so, they can enter the reason here.



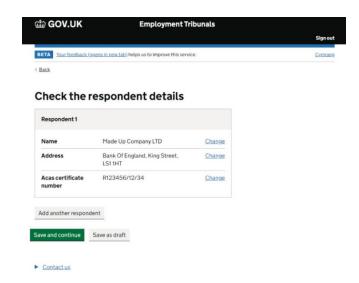




This next page gives a further chance to double check that the employer's details and Acas number have been entered correctly.

It also offers the ability to add another Respondent's details, if this is relevant to the user's claim.

Once finished, the following page asks you to confirm if you have completed this section. Note that if you click Yes to completing this stage, you can still come back to change the answers later. It will just be marked as completed on the steps to making your claim screen.







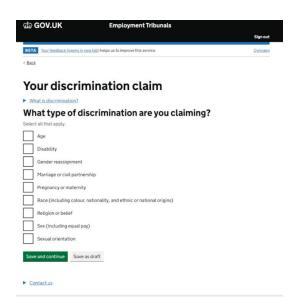
Claim details

The next section asks for the user's claim details and a description of what happened to them.

When considering what type of discrimination, they need to select the legal issues they think their claim is about.

You can select as many boxes as necessary. This is relevant if the user thinks they were discriminated against on the basis of more than one protected characteristic e.g. if they were discriminated against because of their race *and* their disability.

It's important to tick all boxes that apply, as it may be harder to add another type later.







If the user's claim is because they haven't received the correct pay, you will arrive at this screen which asks what type of pay claim.

Again, note that you can select all answers that apply.

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_		Signout
BETA Your feedback (opens in	new Lab) helps us to improve this service.	Cymraeg
< Back		
Your pay cla	aim	
► What are pay claims?		
What type of p	ay claim are you making?	
Select all that apply.		
Arrears (pay you are or	wed)	
Holiday pay		
Notice pay		
Redundancy pay		
Other payments		
Save and continue Save	as draft	
▶ Contact us		





The next page asks the user to explain their claim and why they think what happened was unlawful. We advise that you write this on a separate document and copy and paste or upload when you are ready. Otherwise the system might 'time you out'.

Take your time on this – it is very important.

They need to describe key events including:

- · what was done,
- · the names of the people involved,
- the names of any witnesses who have agreed to back them up, and
- the dates it took place.

The user needs to mention everything which forms part of their claim – it will be hard to add things later.







If the explanation of events is lengthy, it will be helpful to number the paragraphs.

When you have finished, read it to the user at least once.

Ask if they are sure it covers everything.

For some people, particularly if they are anxious and there is time before the time limit, it might be best to give them a print out of their description so that they can think about if it includes everything they want to say, and make another appointment to finish the claim.

If you prefer, you can upload the document (rather than copy and paste). This will also get around any character limit problems. Most types of document are accepted. But only one document can be uploaded in total.

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(Back		
Describe yo	our claim	
This is your apportunity to ex	plain why you are making a claim to an employment tribunal.	
It's important you focus on se happened was unlawful.	tting out what happened to you and explain, as far as you can, why you th	ink what
Your claim will be sent to the	respondents who will have a chance to reply through the tribunal.	
The tribunal will then decide ((usually via a hearing) whether the respondents have acted unlawfully.	
	vidence, such as copies of emails, letters or other documents at this stage they are needed. You may need to bring them to a hearing.	e. Keep holi
▶ What to write for discrimin	sation claims	
 What to write for dismissa 	Claims	
Historia in annual		
➤ What to write for whistlebi ➤ What to write for 'other' of	lower stalms	
➤ What to write for whistlebi ➤ What to write for 'other' ci Describe what Use this box to describe the e	iower claims	
What to write for whistlebi What to write for 'other' cl Describe what	ower claims aims happened to you	
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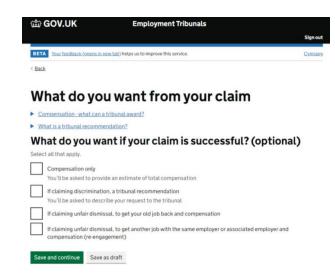


On the claim outcome screen, the user has the option to say which outcome they want.

The user can select all outcomes they feel would apply, depending on what their claim is for.

If the user is seeking compensation (money), they will be asked to provide an estimate of the amount they are seeking on the next page. For more information on how to calculate the sum of compensation, see How to value a claim for employment tribunal.

This question is optional. If they prefer, they can wait until after they submit to give their estimate. (Depending on their claim, it might be complicated – if they skip this for now, remind them they need to work it out soon).



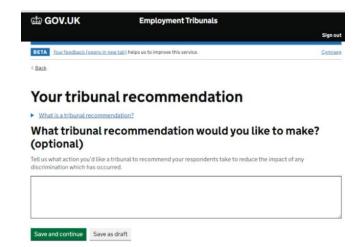




If they wish, the user can suggest the type of recommendation they would like the Tribunal to make.

For unfair dismissal, the Tribunal can order that the user should have their old job back or another job with the same employer. They can't force the employer to follow the order, although they can award more money if the employer does not comply.

In discrimination cases, the Tribunal can recommend that the employer does something or changes something at work, to make sure other people aren't discriminated against in the same way. Again, they can't force the employer to follow the order, but they can award more money if the employer does not.







If the user has a Whistleblowing claim, they have the option to additionally request that their claim is forwarded to a relevant regulator (or 'prescribed person'), if there is one.

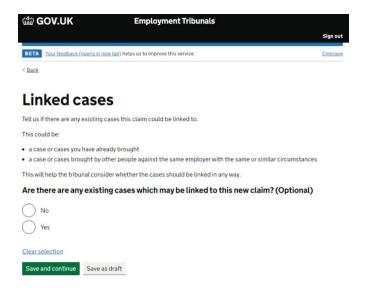
For more detail about this option, see <u>Raising concerns</u> externally about whistleblowing.

∰ GOV.UK	Employment Tribunals	
		Sign out
BETA Your feedback (opens in n	ew tab) helps us to improve this service.	Cymraeg
< Back		
Whistleblo	wing claims (optional)	
You've selected a whistleblow (also known as 'prescribed per	ng claim, so you can request that we forward a copy of you son') or body.	ar claim to a relevant regulator
We will notify the respondent i claim	you choose for us to forward your claim but this will not a	ffect how we process your
Not all whistleblowing claims	vill have an appropriate regulator or body	
Find the relevant regulator or I	ody (opens in a new window) for your type of claim from t	his list
Do you want us to forw	ard your whistleblowing claim to a relevant r	regulator or body?
Yes		
○ No		
Save and continue Save a	s draft	





There is a further page at this point where users can highlight if there are any other relevant cases which could be linked to the one they are bringing.

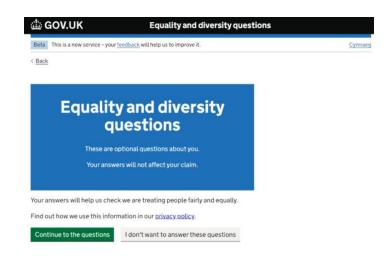






Just before you finally press the button to send the case off, there's a series of Equality and Diversity questions for the user. Each of the questions is optional but can be skipped in full if the user prefers.

Reassure the user that their answers to these questions are anonymous and that they are just about HMCTS' efforts to ensure everyone can access their services.







Finally, this is the Check your answers page.

It is important to carefully read all the answers aloud at this point, as this is the last chance for the user to make changes before you start the case.

Once the answers have been checked and approved by the user, you can press the green 'Submit' button at the end of this page.

∰ GOV.UK	Employment Tribunals	
		Sign ou
BETA Your feedback (opens in ne	w tab) helps us to improve this service.	Cymrae
< Back		
Check your		
Application details		
Claim type	Breach of contract	Change
	Discrimination	
	Pay-related	
	Unfair dismissal	
	Whistleblowing	
	Other type of claim	
Your details	Other type of claim	
Your details	Other type of claim 23-04-1981	Change
Date of birth	23-04-1981	Chang Chang Chang





Explain to the user what happens next

1) Their employer will respond to their claim

They should do this within 28 days. The user will receive a letter or an email when they have responded. If they don't respond on time, the Tribunal may decide the case in the user's favour without a hearing. If the user has selected to be contacted by email, they user will need to log in to their Employment Tribunal account to read or print off their response. Remind the user that they can get another appointment with you for you to support them to do this.

2) Your employer may offer conciliation, or some employers might suggest private mediation which they pay for If they do, this is worth exploring – see how to negotiate a settlement with your employer.

3) The tribunal will make orders or requests

The tribunal will set out what the user and the employer each need to do to get ready for the hearing and give them a timetable that they must stick to. The user will receive an update either by post or email when they have made these orders/requests. If by email, they can view them on their Employment Tribunal account.

If the user has not already provided an estimate of the amount of compensation they are seeking, they will need to do that soon. Explain that it would be good to prepare for that now – give them a print out of Advicenow's guidance on how to value a claim for an employment tribunal. If at all possible, it would be good for them to get advice on their claim and its value. Signpost to a local employment advice service.





At the end of the appointment



- 1. Remind them again of what you have supported them to do today.
- 2. Tell them what will happen next see previous slide.
- 3. Remind them how to make another appointment if they need to get in touch with the tribunal again or need support to access their Employment Tribunal account to progress their case again.
- 4. Tell them that they will be sent a survey by text about today's appointment and encourage them to respond to it. Explain that it is all free.



Using the claim progress tracker



Using the claim progress tracker



You can see how the case is progressing and what the user needs to do next at any time by logging into their Employment Tribunal account. This account will have been set up when the claim was started.

You can do this by clicking on the link in the email the user has been sent or by selecting Return to an existing claim on the <u>Make a claim to an employment tribunal</u> page on the portal.

Start now >

Have you already started a claim?

Return to an existing claim

Return to an existing claim

You'll need to use either a 'save and return number' or your new 'Employment Tribunal account' to return to an existing claim.

Have you got a 'save and return number' or a new 'Employment Tribunal account'?

I've got a 'save and return number'

I've got a new 'Employment Tribunal account'

Continue

Don't have these details Start a new claim.



Viewing the employer's response



Viewing the employer's response

We Are Group

Within 28 days of receiving the user's claim, the employer should provide a response. It will say why they think what happened was lawful.

The user will receive either a letter or an email when the employer has responded. You will need to help the user to log in to their Employment Tribunal account to read or print off their response.

Remind the user to read their response and make notes of what they disagree with on a separate document. The respondent's response may include things that the user doesn't agree with, or think are fair. They just need to make a note of them for now.

Remind them to check their email regularly (if that was the selected communication method)so that they see the notifications as the claim progresses, and the tribunal instructions that they need to follow.



our claim	
Your ET1 claim form	Submit
he response	
Response from the respondent (ET3)	Ready to vi
our hearings	
View details of your hearings	Not available
pplications to the tribunal	

Contact the tribunal about your case



Optional

Viewing the claim in progress



Viewing the claim in progress



This page in the Employment Tribunal account contains an overview of everything related to the user's claim.

From here you will be able to view when:

- the respondent replies,
- the hearing has been scheduled, or
- the tribunal has set out what you each need to do by when.

If either the user or the respondent has asked the tribunal to do anything, that will also be available here.

You can also download all the documents related to the case from here.

You have submitted your claim to the tribunal

We aim to process your claim by 26 July 2023. In busy periods it may take longer.

Your claim

Your ET1 claim form	Submitted

The response

Response from the respondent (ET3)	Not available yet

Your hearings

View details of your hearings	Not available yet

Applications to the tribunal

Your request and applications	Not available yet
Respondent's applications	Not available yet
Contact the tribunal about your case	Optional







Viewing the claim in progress



Once the application to the Tribunal is in progress, this will be clearly highlighted in yellow on the user's 'submitted application' page.

At this point, it will also be possible to view all the documents which are part of the user's case, from the link at the end of the page. The link will take you to another page where the case documents can be downloaded and printed off for the user.

Any orders and requests from the tribunal will be also be available to view using the link in the relevant section of this page.

Once the tribunal has made a judgment, a live link will become available in the section on this page.

Applications to the tribunal

Your request and applications	In progress
Respondent's applications	Not available yet
Contact the tribunal about your case	Optional

Orders and requests from the tribunal

All orders and requests issued by the tribunal	Not available yet

Judgments from the tribunal

View all judgments	Not available yet

Case documents

<u>All documents</u>	Ready to view



Support the user to progress their claim



The prospect of an employment tribunal hearing is very stressful for most people.

Support the user by directing them to places they can get help preparing for the hearing.

- 1. Help them find employment advice make an appointment for them at your local employment advice service, or if you cannot find one see the guidance in <u>How to get free legal advice about an employment problem</u>.
- 2. Print them a copy of <u>Evidence and witness statements for the employment tribunal</u> and <u>Representing yourself at employment tribunal</u> so they know how to prepare and avoid the common pitfalls.
- 3. Support them to check if they might be able to get help from the Free Representation Unit or Advocate. See <u>Help for people going to an employment tribunal for more details</u>. Both usually have more people that need help than they can help so don't build their hopes up too much. <u>Support Through Court</u> can also help by providing practical support and helping you prepare your case. Encourage them to start preparing straight away.

As it gets closer it is common to want to postpone the hearing or stop the case entirely - however this needs careful thought. You can only postpone a hearing for good reason, not just that you aren't ready. Withdrawing the case means you can't usually take further action about the same issue, and if they do this very late in the day, the employer can ask the tribunal to order the user to pay their legal costs. See <u>Representing yourself at employment tribunal</u> for advice on what to do instead.







The user may want to contact the tribunal about their case. They can do so from this link. This may be for a number of reasons – for example, the user wants to

- stop the claim (perhaps because they have reached a settlement),
- · change the claim in some way,
- postpone the hearing, or
- ask the tribunal to do something.

Clicking this link takes you to a further page, which contains a series of optional forms to fill in, depending on the reason why the user needs to contact the tribunal.

Support the user to select the correct form and provide the information necessary.

We have provided guidance on the most common.



to the tribunal

er 2024. In busy periods it may

I want to...

Contact the tribunal about my case

Find legal advice (opens in new tab)

► Contact





For example, if the user has come to an agreement with the respondent and now wants to end the claim, select 'give notice that I want to withdraw all or part of my claim'. Ensure they have received the settlement agreement in writing before doing this.

If they haven't come to a settlement, and are stressed about having to attend the hearing, try to calm them down and ensure they are thinking about this clearly. If they withdraw the claim (or part of it) they cannot usually start it again. If the case has progressed quite far, there is a chance that the respondent could ask that the user pay their legal costs (which could be thousands of pounds), unless they have agreed not to do this. **Ensure that the user understands this**. The user could consider contacting the employer in advance to request that they agree not to ask for their legal costs. See <u>Representing yourself at employment tribunal</u> for more guidance.

If they want to withdraw part of the claim, help them make it clear which part.

Contact the tribunal about your case Use the appropriate form to communicate with the tribunal These requests and applications will be reviewed by the tribunal and copied to the respondent who will have an You may be able to request that some details do not get shared with the respondent. Show all sections give notice that I want to withdraw all or part of my claim When you've reached a settlement or do not want to continue with your claim Give notice that I want to withdraw all or part of my claim I want to change my personal details Show Apply to postpone my hearing Show Apply to vary or revoke an order





Users should do everything they can to ensure the hearing can go ahead as scheduled. If the user wants to apply to postpone the hearing, they can do so here.

Good reasons to ask for a delay might be that they or a crucial witness is ill or they have been given an online hearing and they need it to be in-person.

If they ask to postpone the hearing unnecessarily, they might be asked to pay some of the employers' legal costs (which could be thousands of pounds). The tribunal may also refuse to move the hearing.

Contact the tribunal about your case Use the appropriate form to communicate with the tribunal. These requests and applications will be reviewed by the tribunal and copied to the respondent who will have an You may be able to request that some details do not get shared with the respondent Show all sections Give notice that I want to withdraw all or part of my claim Show I want to change my personal details Apply to postpone my hearing Apply to vary or revoke an order Show Apply to have a decision considered afresh Show Apply to amend my claim

Show



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If the user needs some information from the respondent for their claim that the respondent has not provided, the user can request that the tribunal orders them to supply it. They would need to fill in the form called 'Order the respondent to do something.

Give notice that I want to withdraw all or part of my claim
Show
l want to change my personal details
Show
Apply to postpone my hearing
Show
Apply to vary or revoke an order
⊙ Show
Apply to have a decision considered afresh
⊙ Show
Apply to amend my claim
Show
Order the respondent to do something
Show
Order a witness to attend to give evidence





If the claim has been struck out because the user made a mistake and now the deadline has passed, you can ask the tribunal to reopen it (for example, because you have amended the error) by applying to 'have a decision considered afresh'.

You might also apply to have a decision considered afresh if a decision made in a preliminary hearing (for example, whether your impairment amounts to a disability) has been made incorrectly.

See <u>After you start your employment tribunal claim</u> for more guidance.

Contact the tribunal about your case Use the appropriate form to communicate with the tribunal. These requests and applications will be reviewed by the tribunal and copied to the respondent who will have an You may be able to request that some details do not get shared with the respondent Show all sections Give notice that I want to withdraw all or part of my claim I want to change my personal details Apply to postpone my hearing Show Apply to vary or revoke an order Show Apply to have a decision considered afresh Apply to amend my claim

Show





As mentioned earlier, the user may need to let the tribunal know that the respondent has not followed an order set by the tribunal. This might be because the respondent has not shared their evidence by the correct date, or has refused to put a piece of evidence in the bundle as requested.

For this, the user can use the form for "Tell the tribunal the respondent has not complied with an order".

order a witness to attend to give evidence	o attend to give evidence	
Show	ith an order	
ell the tribunal the respondent has not complied with an order	=	
Show		
pply to restrict publicity		
Show		
trike out all or part of the response		



Apply for a judgment to be reconsidered

Show

Show

Show



At the end of the appointment



- 1. Remind them again of what you have supported them to do today.
- 2. Tell them what will happen next.
- 3. Remind them how to make another appointment if they need to get in touch with the tribunal again or need support to access their Employment Tribunal account to view the progress their case again.
- 4. Print them a copy of <u>Evidence and witness statements for the employment tribunal</u> and <u>Representing yourself at employment tribunal</u> for them to take away with them, and encourage them to read it so that they know how to prepare and avoid the common pitfalls (if applicable).
- 6. Tell them that they will be sent a survey by text about today's appointment and encourage them to respond to it. Explain that it is all free.



ET3 –
Responding to an Employment Tribunal claim



Supporting users to respond to an employment tribunal claim

This page introduces the ET3 response form, which is used when responding to an employment tribunal claim.

Partner tip:

- Highlight what the user needs to complete the form, such as the case number, employment details, pay information, and Acas Early Conciliation details.
- Explain that users can save a PDF copy after submission and review or edit their answers before finalising.
- Inform users their session will time out after one hour on a single page, so saving progress is essential. It would be recommended to write the longer answers on a separate document for this reason.

Guide them to click 'Start now' to begin or 'Return to an existing response' to continue a saved form.





Employment Tribunals

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Other Relevant Links

How to make a claim
How to make a response

More from the Working, jobs and pensions category

Introduction

Complete and submit an ET3 response form when someone makes an employment tribunal claim against you.

If you're a legal professional or another type of professional who received a 16-digit reference number in your response pack, you can respond online using a MyHMCTS account (opens in new tab).

What you need for this form

- your case number (on the letter we sent you)
- claimant's employment start and end dates, hours of work and period of notice
- · details of claimant's pay and benefits, before and after tax
- any Acas Early Conciliation details you may have, such as the certificate number
- a description of your response to the claim, including dates and the people involved
- . details of your representative, like a solicitor, if you have one

How to fill in the form

- your information will not be saved if you spend longer than 1 hour on a single page
- . you can review and edit your answers before you submit the form
- . you can save a PDF of your form after you submit it

Data Protection Act 1998

We will send a copy of this form to the claimant and the Advisory, Concilitation and Arbitration Service (ACAS). We will put the information you give us on this form onto a computer. This helps us to monitor progress and produce statistics. Information provided on this form is passed to the Department for Business, Innovation and Skills to assist research into the use and effectiveness of employment ribunals.

Start now >

Have you already started a response?

Return to an existing response

Help using the service

Call one of our Employment Tribunal customer contact centres. They cannot give you legal advice.

Monday to Friday, 9am to 5pm

Telephone: 0300 323 0196

Telephone: 0300 303 5176 (Welsh language)

Telephone: 0300 790 6234 (Scotland)

Find out about call charges (opens in new tab)

Case Number



Users will need to enter the case number noted in the letter sent by the employment tribunal.

Once complete, direct them to click 'continue' to proceed.





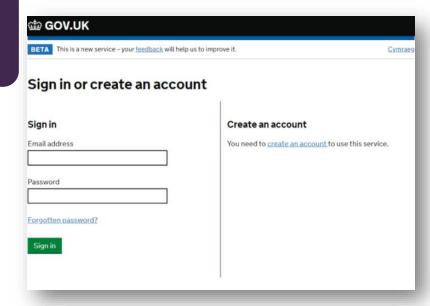
Sign in/Create account

Users need to create an account to use the system and access their case. If the user has an existing account, they should log in with their credentials on this page.

Partner Tip:

- If users do not have an account, they will need to create one, to do this they need an Email address and will need to create a password.
- If users have forgotten their password, they can click 'Forgotten password' to reset it from their email account.
- Suggest entering an accessible email address to receive the number and reset their password if needed.
- Guide them to create a <u>secure password</u> that they can easily recall for future access.

Once complete, direct them to click 'Sign in' or 'Create an account' to proceed, review the 'Before you continue' information and then click 'Continue'.



Before you continue

You'll have the opportunity to provide detailed information about the claim, but you might want to have the minimum required information to hand:

- 1 Online case reference number provided by HMCTS
- 2 The name of respondent (you or the person you're responding for)
- 3 Name of the claimant provided by HMCTS

You do not have to complete your response in one session. You can save your progress and return to the response form at any time before submitting.

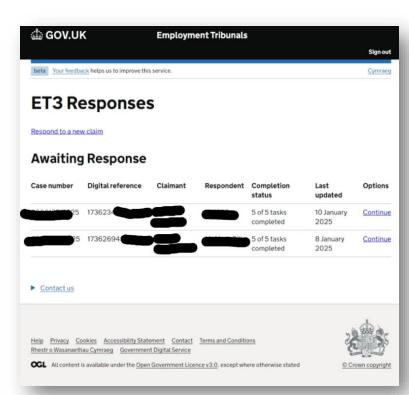


Responses

The user will be brought to the ET3 Responses page, here they can select the response they wish to action.

Partner Tip:

- For the majority of users there will only be one claim showing and they will need to select the 'Respond to a new claim option'. They should make sure they check the case number matches and they are responding to the correct case.
- Some users may have more than one live claim. In these circumstances users should select 'Continue' on the correct entry listed under 'Awaiting Response'.





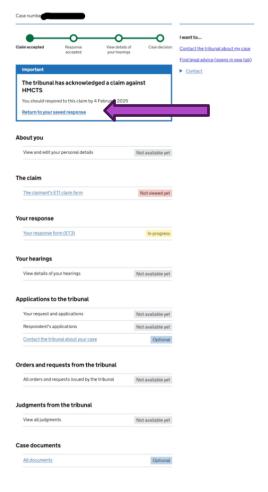
Case Overview

The user will be guided to the 'Case overview' page, where they can see the status of their case, next actions and provide information.

Partner Tip:

 This page has a lot of areas users can explore. It's worth noting that important actions can appear in a blue box at the top of the page to help the user understand the next action they need to take.

The user needs to click on the relevant area to proceed. If they have already started their response, they can click the link marked with an arrow.





Your response form (ET3)

This section collects all the details about the individual, company, or organisation responding to the claim, each section needs to be completed.

Partner Tip:

- At the top of this page the user can view the ET1 Claim form and the Acas certificate (and download it as PDF).
- Guide users to complete all categories
- Some pages are marked as 'optional', so users can decide if they want/need to complete these sections.
- The user will need to work through the questions in each section for it to be marked 'completed' before moving on to the next section.
- In the 'Tell us about the respondent section the respondent has opportunity to say if they do not agree with the information provided by the claimant
- Remind users to double-check their entries in all sections before clicking and completing the 'check your answers' and 'submitting section' as this submits the response.





Employment Tribunals

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Your response form (ET3)

Contact

To help you complete this, open the:

- . ET1 claim form (opens in new tab)
- Acas certificate (opens in new tab)

If you do not provide a response, a judgment may be issued against you without a hearing.

If you consider another person or company may be liable, you should still submit a response. You will have a chance in this response to explain why you think someone else may be liable.

Tell us about the respondent

Contact details	Completed
Hearing format and employer details	Completed

2. Tell us about the claimant

Early conciliation and employee details	Completed
Pay, pension and benefits details	Completed

3. Give us your response

Contest the claim	Complete

4. Check and submit your response

heck your answers	Not started ye
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Contact Details

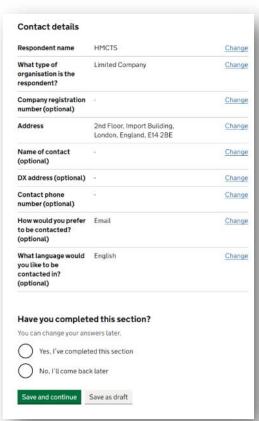


This section collects details about the individual, company, or organisation responding to the claim. Work through each page until you get to the summary page displayed to the right.

Partner Tip:

- Guide users to fill in the respondent's name, address, and contact details accurately.
- Highlight optional fields, such as the company number and the type of employer, if applicable.
- Ensure users select their preferred contact method (email or post)

Remind users to double-check their entries before clicking "**Save** and continue".





Hearing format and employer details

This section collects details about the hearing format and employer details for the claim response. Work through each page until you get to the summary page displayed to the right.

Partner Tip:

- Ensure users understand they can provide details of any physical, mental or learning disability or health condition that means they will need support during their case.
- Ensure users indicate if they can participate in hearings by phone or video.
- If the organisation has more than one site in Great Britain, ensure the correct response is selected.

Remind users to double-check their entries before clicking "Save and continue" on the final summary page.





Employment Tribunals

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|-------------------------------------------------|--|
|                                                 |  |

Back

## Check your answers

► Contact

#### Hearing format and employer details

| Video | Chang    |
|-------|----------|
| No    | Chang    |
| 13    | Chang    |
| No    | Chang    |
| 13    | Chang    |
|       | No<br>13 |

| ۲ | lave | you comp | le | ted | th | is: | sect | ion? |
|---|------|----------|----|-----|----|-----|------|------|
|---|------|----------|----|-----|----|-----|------|------|

| Yes, I've completed this section |
|----------------------------------|
|                                  |
| No. I'll come back later         |
|                                  |



Help Privacy Cookies Accessibility Statement Contact Terms and Condition

This section allows respondents to review and confirm or dispute details provided by the claimant about their employment and early conciliation with Acas. Work through each page until you get to the summary page displayed to the right.

#### **Partner Tip:**

- Guide users to confirm or dispute the claimant's information about early conciliation, employment dates, job title, or current employment status.
- If they disagree with any details, show them where to provide a clear explanation or the correct information in the optional text boxes.
- Ensure users carefully review all sections before proceeding.

Encourage users to check their entries and click "Save and continue" when compete.



**Employment Tribunals** 

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Back

## Check your answers

► Contact

Early conciliation and employee details

Do you agree with the Change details given by the claimant about early conciliation with Acas? Are the dates of Change employment given by the claimant correct? Is the claimant's Change employment with the respondent continuing? Is the claimant's job Change title correct? Are the claimant's Change average weekly work hours correct?

#### Have you completed this section?

You can change your answers later.

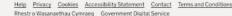
Yes, I've completed this section

No, I'll come back later

Save and continue

Save as draft







< Back

This section allows respondents to confirm or dispute the claimant's details regarding their work hours, pay and benefits. Work through each page until you get to the summary page displayed to the right.

#### **Partner Tip:**

- Show users where to review the claimant's information on work hours, pay before and after tax, and any benefits (e.g., pensions or medical insurance).
- If the respondent disagrees, guide them to provide correct details in the text boxes provided. Remind them the details will need to be the same as on the claimant's pay slips.
- Remind users that optional sections can be left blank if not applicable.

Encourage users to carefully check all entries before selecting "Save and continue".

## Check your answers

► Contact

#### Pay, pension and benefits details

| Are the pay details given by the claimant correct?       | Yes | Change |
|----------------------------------------------------------|-----|--------|
| Are the claimant's notice period details correct?        | Yes | Change |
| Are the claimant's pension and benefits details correct? | Yes | Change |

#### Have you completed this section?

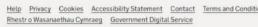
You can change your answers later.

Yes, I've completed this section

No, I'll come back later



Save as draft







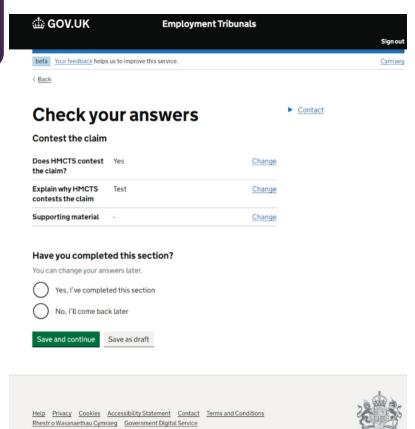
## Contest the claim

This page asks Respondents to confirm if they intend to contest the claim and provide supporting facts if applicable.

## Partner tip:

- Guide users to select Yes or No depending on whether they intend to contest the claim.
- If contesting, show them where to enter the key facts they rely on or upload a document with additional details.
- Encourage them to take their time as their answer is very important. They should respond to each paragraph of the claim details, explaining what they disagree with and why. It might be useful for them to refer the claimant's employment contract or their employee handbook.
- Remind users the text box is optional but can accommodate up to 3000 characters.

Encourage users to review their input very carefully before selecting "Save and continue".



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## Extra support during the case

This page asks if the respondent needs support and allows them to specify any assistance they may require during the tribunal process.

#### **Partner Tip:**

- Guide users to select Yes, No, or I'm not sure yet depending on their circumstances.
- If they select Yes, direct them to describe their disability and specify the assistance needed, such as adjustments for hearings. They may need documents in a different format, longer breaks or shorter days, the hearing to be held in an accessible space, or a sign language interpreter.
- Highlight that they can include additional details by uploading a document at the end of the form.

Encourage users to check their entry before clicking 'Save and continue'.

Section 1. Tell us about the respondent

# Extra support during the case

We know some people need support to access information and use our services. We call this a reasonable adjustment. Some reasonable adjustments need to be agreed by a judge or HMCTS. You can discuss with the tribunal if your needs change.

What support is available?

Do you have a physical, mental or learning disability or health condition that means you need support during the case? (optional)

| $\bigcirc$ | Yes                                         |
|------------|---------------------------------------------|
| $\bigcirc$ | No - I do not need any support at this time |
| $\bigcirc$ | I'm not sure yet                            |
|            |                                             |

Clear selection

Save and continue

Save as draft

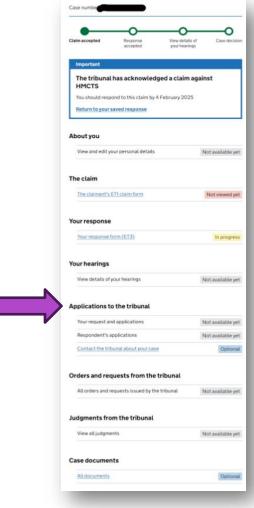


## Contact the tribunal about your case

The user may want to contact the tribunal about their case. They can do so from this link. This may be for several reasons for example, the user wants to:

- stop the claim (perhaps because they have reached a settlement),
- · change the claim in some way,
- postpone the hearing, or
- ask the tribunal to do something.

From the "Case Overview" screen and under the "Applications to the tribunal" section, users have the ability to choose the "Contact the tribunal about your case" option.





# Contact the tribunal about your case continued

This will then show all of the options available to users.

Support users to select the reason for the contact and to complete the application details that follow.





## View case documents

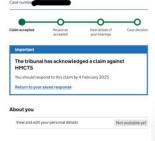


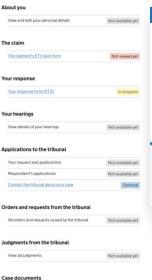
From the "Case overview" screen and under the "Case documents" section, users can have the ability to optionally choose the "View documents" option.

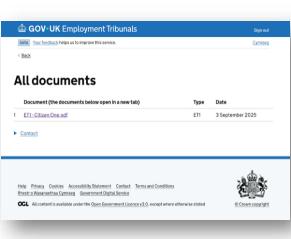
This will show them the page shown opposite, where they can **view** and **save** (download) available case documents.

### **Partner Tip:**

 It's worth noting that as the case progresses, more documents may appear here, so it's worth checking back for changes.









# **Employer Contract Claim (b**reach of contract claim types)

This page allows respondents to indicate if they wish to make an Employer Contract Claim, providing background details if applicable. Employers can only make this kind of counterclaim if the employee has made a contract claim.

#### **Partner Tip:**

- Direct users to select **Yes** or **No** to indicate whether they are making an Employer Contract Claim.
- If they select Yes, show them where to enter the background and details of their claim, including key dates.
- Remind them they can upload a document with additional information if needed, especially if their explanation exceeds the provided space.

Encourage users to check their input carefully before clicking 'Save and continue'.





Employment Tribunals

#### **Employer Contract Claim**

Your response

Save and complete late

Only available in limited circumstances where the Claimant has made a contract claim (see guidance)

| Do you wish to ma | ke an Employer | Contract ( | Claim? ( | optional |
|-------------------|----------------|------------|----------|----------|
|-------------------|----------------|------------|----------|----------|

 $\odot$ 

 $\tilde{\phantom{a}}$ 

Please set out the background and details of your claim below, which should include all important dates (optional)

See guidance for more information on what details should be included. PLEASE NOTE – Tow will only be able to enter a limited amount of information here (up to 4500 characters) if you would like to include more please use our upload facility at the end of this form where you will be able to upload a Rich Text Format (.417) file. If you exceed 50 lines you will not receive full details of your claim in the PDF returned to you on submission of the form.

Save and continue

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## Check your answers (ET3)

This page provides a complete summary of the information entered in the ET3 form. Support the user to review all sections carefully before submitting. It may be hard to change the substance of their response later.

#### **Partner Tip:**

- Encourage users to scroll through the entire summary or read it aloud to them, checking each section for accuracy and completeness.
- If corrections are needed, show them how to use the 'Change' links next to each section to go back and make edits.
- Remind users that this is their final opportunity to review the details before submission.

Once everything is confirmed, direct users to click 'Submit'.

#### Submit your response

By submitting your response you are confirming that the details you are providing are correct. You will not be able to change your response after you submit it. You can view your submitted response on the Case overview page.









This page confirms the ET3 response has been successfully submitted and provides key details about the submission.

### **Partner Tip:**

- Highlight the 'Case number' and encourage users to save it for future reference but reassure them its attached to their account.
- Inform users where their response has been sent and how to contact the relevant tribunal office if needed, including the email address and phone number provided.
- Remind users they can download a PDF of their completed form from the 'Download your response' section.
- Offer users to provide feedback on the service by clicking 'Give feedback' if they wish.

Reassure users that no further action is needed unless instructed. They should regularly check their post or their email (whichever they selected as their preference) for updates.





**Employment Tribunals** 

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# Your response has been submitted

#### What happens next

You should receive email confirmation from the tribunal office processing your response within 5 working days. You may need to check your junk mail folder.

#### Submission details

| Case number            | 6000127/2025  |
|------------------------|---------------|
| Response submitted     | 04/02/2025    |
| Download your response | ET3-HMCTS.pdf |
| Attachments            | 8             |

#### For questions about this case

Contact the tribunal about the case (opens in new tab)

Close and return to case overview

Contact us

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Rhestr o Wasanaethau Cymraeg Government Digital Service







12.2 ET1 – Legacy Form



## Communicating with the tribunal

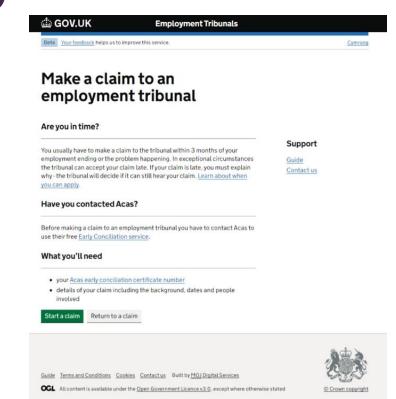


The ET1 Legacy form is for single claimants being represented by a non-legal representative (family/friend) and multiple/group claims. It is a linier application process that follows the normal Gov.uk design language/logic.

This initial page ensures users are ready to start their claim. Check if they meet the time limit (usually 3 months) and confirm they've contacted Acas for Early Conciliation. They'll need their Acas certificate number and details of their claim (Unless an exemption applies).

Click **Start a Claim** to begin or **return to a claim** to access a previous Claim (the user will need their **Claim and return number**).

**Partner Tips:** Their case is only started when they press the 'submit your claim' button and get the 'Claim submitted' confirmation screen later in the process.





## Saving your claim

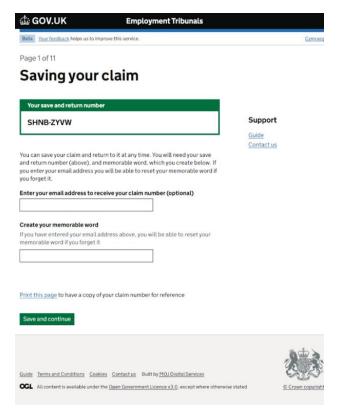


This screen provides users with a unique **Save and Return Number** for accessing their claim later. They also need to create a memorable word for security.

#### **Partner Tips:**

- Encourage users to note down or print their Save and Return Number.
- If possible, they should enter an email address to retrieve their details if needed.
- Remind them to choose a memorable word they won't forget.

Once complete, guide them to select **Save and Continue**.





## Claimants Details

This page requires the user to provide personal and contact information relevant to their claim.

## **Partner Tips:**

- Support the user in entering their name, date of birth (if applicable), and contact details.
- Highlight the importance of selecting their preferred method of correspondence (email or post).
- If the user indicates a disability, ensure they select the appropriate option for assistance.
- Show them where to specify if they can take part in video or phone hearings.

Direct the user to check all fields carefully and proceed by selecting Save and Continue.

| Page 2 of 11                                                                                                                                                                                                                                    |                         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| Claimant's details                                                                                                                                                                                                                              |                         |
| Claimant Suctaits                                                                                                                                                                                                                               |                         |
| About the claimant                                                                                                                                                                                                                              |                         |
|                                                                                                                                                                                                                                                 | Support                 |
| Information about the person or organisation making this claim (known as the<br>'claimant').                                                                                                                                                    | Guide                   |
| Title (optional)                                                                                                                                                                                                                                | Contactus               |
| Please select v                                                                                                                                                                                                                                 | Your claim              |
| First name                                                                                                                                                                                                                                      |                         |
|                                                                                                                                                                                                                                                 | Save and complete later |
| Last name                                                                                                                                                                                                                                       |                         |
| Last name                                                                                                                                                                                                                                       |                         |
|                                                                                                                                                                                                                                                 |                         |
| Date of birth (optional)<br>For example, 23 04 1981                                                                                                                                                                                             |                         |
| Day Month Year                                                                                                                                                                                                                                  |                         |
|                                                                                                                                                                                                                                                 |                         |
| Gender (optional)                                                                                                                                                                                                                               |                         |
| Plale Female Prefer not to say                                                                                                                                                                                                                  |                         |
|                                                                                                                                                                                                                                                 |                         |
| Do you have a disability which means you require assistance at the tribunal<br>hearing?                                                                                                                                                         |                         |
| Eg documents in Braitle, or a sign language interpreter                                                                                                                                                                                         |                         |
| ○ Yes ○ No                                                                                                                                                                                                                                      |                         |
|                                                                                                                                                                                                                                                 |                         |
| Claimant's contact details                                                                                                                                                                                                                      |                         |
| Building number or name                                                                                                                                                                                                                         |                         |
|                                                                                                                                                                                                                                                 |                         |
| Street                                                                                                                                                                                                                                          |                         |
|                                                                                                                                                                                                                                                 |                         |
| Town/city                                                                                                                                                                                                                                       |                         |
|                                                                                                                                                                                                                                                 |                         |
| County                                                                                                                                                                                                                                          |                         |
| Eg if London, Greater London; if Manchester, Greater Manchester                                                                                                                                                                                 |                         |
|                                                                                                                                                                                                                                                 |                         |
| Postcode                                                                                                                                                                                                                                        |                         |
|                                                                                                                                                                                                                                                 |                         |
| Country                                                                                                                                                                                                                                         |                         |
| United Kingdom                                                                                                                                                                                                                                  |                         |
|                                                                                                                                                                                                                                                 |                         |
| Outside United Kingdom                                                                                                                                                                                                                          |                         |
| Phone or mobile number (optional)                                                                                                                                                                                                               |                         |
|                                                                                                                                                                                                                                                 |                         |
| Alternative phone or mobile number (optional)                                                                                                                                                                                                   |                         |
|                                                                                                                                                                                                                                                 |                         |
| Best way to send correspondence to you (the claimant)                                                                                                                                                                                           |                         |
| Note that if you have a representative (eg solicitor), then all future<br>correspondence will be sent to them                                                                                                                                   |                         |
|                                                                                                                                                                                                                                                 |                         |
|                                                                                                                                                                                                                                                 |                         |
| Email Post                                                                                                                                                                                                                                      |                         |
|                                                                                                                                                                                                                                                 |                         |
| Email Plost  Would you be able to take part in hearings by video and phone?  Further details on video hearings can be found on the following link                                                                                               |                         |
| Email Post  Would you be able to take part in hearings by video and phone?                                                                                                                                                                      |                         |
| Email Post  Would you be able to take part in hearings by video and phone? Further details on video hearings can be found on the billowing link https://www.gov.uk/pudaces/mchrot-detailphone-and-video-farings quiring-                        |                         |
| Email Post  Would you be able to take part in hearings by video and phone? Further details on video hearings can be found on the following link. https://www.gov.ukry.udiarce.inhects.telephone and video/hearings during-combination southern. |                         |
| Email Prot                                                                                                                                                                                                                                      |                         |







# **Group Claims**

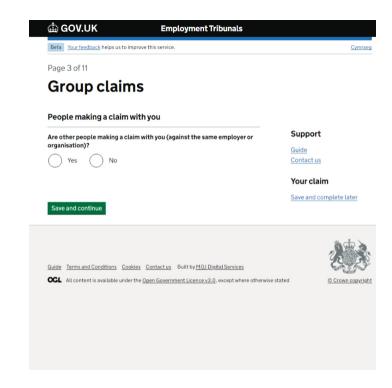


This page asks if other people are making a claim alongside the user against the same employer or organisation.

### **Partner Tip:**

- Explain to users that they should select "Yes" if they are submitting a claim with others and "No" if they are claiming alone.
- If unsure, remind them they can ask for further clarification before proceeding.

Ensure they select the appropriate option and click **Save and Continue**.





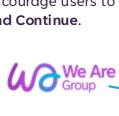
## **Group Claims (Details)**

This page allows users to include details of other claimants if they are making a group claim against the same employer or organisation. They must only add details of people who have agreed to be added as a claimant.

#### **Partner Tip:**

- If the user selects "Yes," guide them to enter the required information for additional claimants (e.g., name, address, and date of birth).
- For groups of more than 5, point out the option to upload details via a separate spreadsheet. (This will need more explaining if the user is Digitally Excluded)
- Use the Add more claimants button to include additional individuals if applicable.

Encourage users to review the information before clicking **Save** and **Continue**.



| Beta Your feedback helps us to improve this service.                                                                                  | Cymraeg                            |
|---------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| age 3 of 11                                                                                                                           |                                    |
| Group claims                                                                                                                          |                                    |
| People making a claim with you                                                                                                        |                                    |
| Are other people making a claim with you (against the same employer or organisation)?                                                 | Support                            |
| Yes No                                                                                                                                | Guide<br>Contact us                |
|                                                                                                                                       |                                    |
| For 5 or fewer claimants, enter their details below. For 6 or more claimants, you can upload their details in a separate spreadsheet. | Your claim Save and complete later |
| Claimant 2                                                                                                                            |                                    |
| Fitie (optional)                                                                                                                      |                                    |
| Please select ~                                                                                                                       |                                    |
| First name                                                                                                                            |                                    |
|                                                                                                                                       |                                    |
| Last name Date of birth (optional) For example, 23 04 1981 Day Month Year                                                             |                                    |
| Date of birth (optional) For example, 23 04 1981  Month Year                                                                          |                                    |
| Date of birth (optional) for example, 23 04 1981 Month Year                                                                           |                                    |
| Date of birth (optional) or example, 23 04 1981                                                                                       |                                    |
| Date of birth (optional) For example, 23 04 1981 Day Month Year Sullding number or name                                               |                                    |
| Date of birth (optional) For example, 23 04 1981 Day Month Year Building number or name Street                                        |                                    |
| Date of birth (optional) For example, 23 04 1981 Day Month Year Sullding number or name                                               |                                    |
| Date of birth (optional) for example, 23 04 1981 Days Month Year  Street  Countries  Countries  Countries                             |                                    |
| Date of birth (optional) for example, 23 04 1981 Days Month Year  Street  Countries  Countries  Countries                             |                                    |

Save and continu





## **Respondent's details**

This page captures information about the organisation or individual the claim is against (the respondent) and verifies if the Acas Early Conciliation process has been completed.

#### **Partner Tip:**

- Guide the user to fill in the respondent's name and address
  accurately, as this will be used for correspondence. The name
  should be exactly as it is on the Acas Early Conciliation Certificate.
- If the user's work address differs from the respondent's address, show them where to add those details.
- Help them to add the Acas Early Conciliation Certificate Number correctly, unless they are one of the few people who are exempt. If they are exempt, support them to select the correct exemption reason. If they do not have a number and are not exempt, explain that they must get in touch with Acas using Acas' online form.

Encourage the user to check everything is correct before clicking **Save** and **Continue**.



| GOV.UK                                                      | <b>Employment Tribunals</b>                                                         |                         |
|-------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------|
| eta True feedback felps us to                               | improve this service.                                                               | Samona                  |
| age 5 of 11                                                 |                                                                                     |                         |
|                                                             | ıt's details                                                                        |                         |
|                                                             |                                                                                     |                         |
| bout the respondent                                         |                                                                                     | 0200000                 |
| e contact details of the per<br>ainst (known as the 'respon | son or organisation you're making a claim<br>indent'). The address will be used for | Support                 |
| rrespondence about the ca                                   | 64.                                                                                 | Guide<br>Contactus      |
| sme of respondent                                           |                                                                                     | Your claim              |
|                                                             |                                                                                     | Save and complete later |
| iliding number or name                                      |                                                                                     |                         |
|                                                             |                                                                                     |                         |
| reet<br>ade up road                                         |                                                                                     |                         |
|                                                             |                                                                                     |                         |
| wn/city<br>adeuptown                                        |                                                                                     |                         |
| adeuptown                                                   |                                                                                     |                         |
| unty<br>#London Greater London                              | : If Manchester, Greater Manchester                                                 |                         |
| reat Madeupshire                                            | ( a r sancincian), sream r minorcam                                                 |                         |
|                                                             |                                                                                     |                         |
| stcode<br>E122TH                                            |                                                                                     |                         |
|                                                             |                                                                                     |                         |
| our work address                                            |                                                                                     |                         |
| Give details of your work a<br>Building number or name      |                                                                                     |                         |
| Street                                                      |                                                                                     |                         |
|                                                             |                                                                                     |                         |
| Town/city                                                   |                                                                                     |                         |
|                                                             |                                                                                     |                         |
| County (optional)                                           |                                                                                     |                         |
| Eg if London, Greater Lond                                  | don; if Manchester, Greater Manchester                                              |                         |
|                                                             |                                                                                     |                         |
| Postcode                                                    |                                                                                     |                         |
|                                                             |                                                                                     |                         |
| cas early conciliation                                      | certificate number                                                                  |                         |
| you have an Acas number                                     |                                                                                     |                         |
| Yes No                                                      |                                                                                     |                         |
| ) <b>©</b>                                                  |                                                                                     |                         |
| Why don't you have an Ac                                    | as number?                                                                          |                         |
| Please note: Incorrectly clibeing rejected. If in doubt.    | alming an exemption may lead to your claim<br>please contact ACAS                   |                         |
| Another person I'm                                          | making the claim with has an Acas early                                             |                         |
| concliation certific                                        | cate number<br>the power to conciliate on some or all of my                         |                         |
| claim                                                       |                                                                                     |                         |
|                                                             | tready been in touch with Acas                                                      |                         |
| The claim consists contains an applica                      | only of a complaint of unfair dismissal which<br>ation for interim relief.          |                         |
|                                                             |                                                                                     |                         |





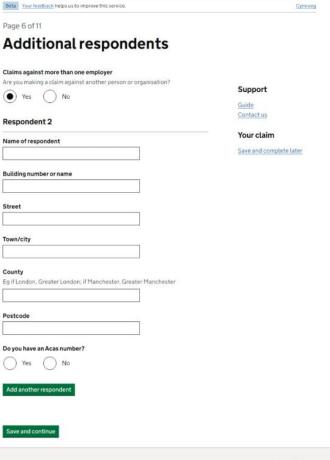
# Additional respondents

This page allows users to add details of any additional respondents if they are making claims against more than one person or organisation.

#### **Partner Tip:**

- If the user selects "Yes," guide them to enter the name and address of the additional respondent(s).
- Highlight the need for an Acas Early Conciliation Certificate
   Number for each additional respondent unless an exemption applies.
- Use the Add another respondent button to include multiple respondents if necessary.

Encourage users to check everything is correct before clicking **Save and Continue**.



**Employment Tribunals** 







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# **Employment details**

This page gathers details about the user's employment relationship with the respondent, including work history, pay, and benefits.

#### **Partner Tip:**

- Guide users to complete the required sections, such as their employment start date and current work status with the employer. Support them to ensure their answers are correct as it may affect their claim.
- Highlight optional sections like notice periods, weekly hours worked, and pay details, ensuring users can find the necessary information on payslips.
- For pension and benefits (e.g., a company car), show users where to enter this information.

Encourage users to check everything is correct before clicking **Save and Continue**.



| GOV.                        | JK Employment Tribunals                                                                                           |                         |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------|-------------------------|
| Your fee                    | Black helps us to improve this service.                                                                           | Cymraeg                 |
| e 7 of 11                   |                                                                                                                   |                         |
|                             | oyment details                                                                                                    |                         |
| npı                         | byment details                                                                                                    |                         |
| r emple                     | oyment details                                                                                                    |                         |
|                             |                                                                                                                   | Support                 |
| you eve<br>ng this c        | been employed by the person or organisation that you're<br>aim against?                                           | Guide                   |
| Yes                         | ○ No                                                                                                              | Contact us              |
| is vour                     | urrent work situation in relation to the employer you're                                                          | Your claim              |
|                             | n against?                                                                                                        | Save and complete later |
| Stillw                      | rking for this employer                                                                                           |                         |
| Workin                      | g a notice period for this employer                                                                               |                         |
| No lan                      | gerworking for this employer                                                                                      |                         |
| loyme                       | nt details                                                                                                        |                         |
| tle (opt                    |                                                                                                                   |                         |
| ob you w                    | ere doing at the time of the problem at work                                                                      |                         |
|                             |                                                                                                                   |                         |
| oyment<br>cample, :<br>ate) | start date (optional)<br>12 04 2014 (if you don't know the exact date then put your best                          |                         |
|                             | h Year                                                                                                            |                         |
|                             |                                                                                                                   |                         |
| ou work                     | (or get paid for) a period of notice? (optional)                                                                  |                         |
| Yes                         | ○ No                                                                                                              |                         |
| ge hour                     | s worked per week (optional)                                                                                      |                         |
| include                     | overtime                                                                                                          |                         |
|                             |                                                                                                                   |                         |
|                             | on and benefits                                                                                                   |                         |
|                             | re you paid (optional)                                                                                            |                         |
| Weekl                       | Monthly Annually                                                                                                  |                         |
|                             | (optional)                                                                                                        |                         |
|                             | iss pay, before tax and other deductions. You can find it on your<br>include any overtime payments                |                         |
|                             |                                                                                                                   |                         |
|                             | optional)                                                                                                         |                         |
| s your ne<br>your pays      | t or take-home pay, after tax and other deductions. You can find<br>lip. Include overtime, commission and bonuses |                         |
|                             |                                                                                                                   |                         |
| or were                     | -you a member of your employer's pension scheme?                                                                  |                         |
| Yes                         | ○ No                                                                                                              |                         |
|                             | ou have any benefits, like a company car? (optional)                                                              |                         |
| ls of ben                   | ritt(s)                                                                                                           |                         |
|                             |                                                                                                                   |                         |
|                             |                                                                                                                   |                         |
|                             |                                                                                                                   |                         |
|                             |                                                                                                                   |                         |





# About the claim

This page captures details about the nature of the user's claim, including the type of issue, any whistleblowing concerns, and other relevant claim information.

### **Partner Tip:**

- Guide users to select all that are relevant (e.g., unfair dismissal, discrimination, pay issues). It is important to select all that apply as it will be hard to add something later.
- If the user's claim doesn't fit the predefined options, show them where to briefly describe it under "Other type of claim."
- For whistleblowing claims, explain where to provide details and, if needed, add the name of the relevant regulator.

Encourage users to check all selections and entries before clicking **Save and Continue**.











# Claim details

This page allows users to provide a detailed description of their claim and indicate any related claims made by others.

## **Partner Tip:**

- Support users to explain their claim and why they think what happened was unlawful. Take your time on this - it is very important. The user needs to mention everything which forms part of their claim - it will be hard to add things later.
- They need to describe key events including what was done, the names of the people involved, the names of any witnesses who have agreed to provide supporting evidence, and the dates it took place.
- Write this on a separate document and copy and paste or upload when you are ready. Otherwise the system might 'time you out'.
- They should read this over carefully.
- If they are aware of others making similar claims, guide them to indicate this and add names if appropriate.





**Employment Tribunals** 

Support

Your claim Save and complete later

Guide Contact us

Page 9 of 11

#### Claim details

Beta Your feedback helps us to improve this service.

|                 | statement below. Include the background, dates and people bout writing your claim statement, |
|-----------------|----------------------------------------------------------------------------------------------|
| Or upload it a  | is a separate document                                                                       |
| Describe your c | laim                                                                                         |
|                 |                                                                                              |
|                 |                                                                                              |
|                 |                                                                                              |
|                 |                                                                                              |
|                 |                                                                                              |
|                 |                                                                                              |
|                 |                                                                                              |
|                 |                                                                                              |
|                 |                                                                                              |

Do you know of any other claimants (not already listed) making similar

A judge may combine similar claims to manage and hear them together. This

|  | 1 |
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|-----|--|--|----|--|
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| ٠,  |  |  | /  |  |

You can add the names of other people here. (optional)

You have 350 characters remaining

Save and continue





# Claim outcome

This page allows users to select their preferred outcome(s) if their claim is successful and provide details about any compensation they are seekina.

#### **Partner Tip:**

- Guide users to choose one or more outcomes, such as compensation or recommendations for workplace changes.
- If the user is seeking compensation (money), they are asked to provide an estimate of the amount they are seeking. For more information on how to calculate the sum of compensation, see How to value a claim for employment tribunal. They should show how it was calculated.
- This guestion is optional. If they prefer, they can wait until after they submit to give their estimate. (Depending on their claim, it might be complicated – if they skip this for now, remind them they need to work it out soon).
- Encourage users to double check their answers as it is very important.





**Employment Tribunals** 

Beta Your feedback helps us to improve this service

Page 10 of 11

## Claim outcome

Choose your preferred outcome(s)

| You ca | an select more than one                                                                                                                                                        |
|--------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|        | Compensation                                                                                                                                                                   |
|        | A recommendation from a tribunal (that the employer takes action so that the problem at work doesn't happen again)                                                             |
|        | To get your old job back                                                                                                                                                       |
|        | To get another job with the same employer or associated employer                                                                                                               |
| What   | compensation or other outcome do you want? (optional)                                                                                                                          |
| and ho | re claiming financial compensation, you can say how much you want<br>ow you worked out the sum. You can change these details later, or leav<br>ection blank if you don't know. |

| S   |   | - | - | - |   |   |
|-----|---|---|---|---|---|---|
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|     |   |   |   |   |   |   |

Contact us

Your claim

Save and complete later

Save and continue



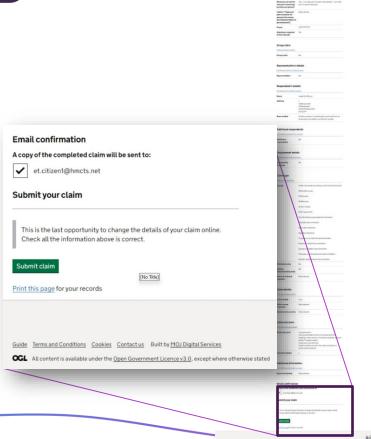
## Check your claim

This penultimate page provides a summary of all the information entered in the claim. Users must review their details carefully before submitting.

#### **Partner Tip:**

- Encourage users to scroll through the entire page or read it out to them, so that they can verify that all sections are accurate and complete.
- If any corrections are needed, guide them on how to navigate back to the relevant section to make changes.
- Remind users that they need there save and return number to come back to this if they do not click submit.
- Remind them that this is their last chance to edit details before submitting the claim and that it can be hard to add more events or issues later.

Once all information is confirmed, direct the user to select **Submit claim**.







# Claim Submitted

This page confirms that the claim has been successfully submitted and provides a submission reference.

## Partner Tip:

- Highlight the submission reference number and encourage the user to save or write it down for future reference.
- Show users where to print this page or download a PDF of the completed form when it becomes available.
- Explain that they will be contacted once the claim is sent to the respondent, but a specific timescale may not be provided.
- Point out optional actions like completing the diversity monitoring questionnaire.

Reassure users that no further action is needed at this stage unless requested. They should regularly check their post or their email (whichever they selected as their preference) for updates.



**Employment Tribunals** 

Cymraeg

Beta Your feedback helps us to improve this service.

## Claim submitted

Your submission reference

262000259000

#### What happens next

In view of the current situation with COVID-19, updated guidance from HMCTS is available here:

https://www.gov.uk/guidance/coronavirus-covid-19-courts-and-tribunalsplanning-and-preparation

We will contact you once we have sent your claim to the respondent and explain what happens next. At present, it is not possible to predict an exact timescale.

#### Submission details

Download your claim

Not quite ready yet - a PDF version of your completed form

will be available for download shortly
Claim submitted Submitted 12 September 2024

Midlands (East) ET.

Tribunal office

midlandseastet@iustice.gov.uk, 0115 947 5701

Attachments included

None

Print this page for your records

Your feedback helps us improve this service.

Help us keep track. Complete our diversity monitoring questionnaire.









13 – Legacy Form



# Communicating with the tribunal

This page introduces the ET3 response form, which is used when responding to an employment tribunal claim.

#### **Partner Tip:**

- Highlight what the user needs to complete the form, such as the case number, employment details, pay information, and Acas Early Conciliation details.
- Explain that users can save a PDF copy after submission and review or edit their answers before finalising.
- Inform users their session will time out after one hour on a single page, so saving progress is essential. It would be sensible to write the longer answers on a separate document for this reason.

Guide them to click **Start now** to begin or **Return to my response** to continue a saved form

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Other Relevant Links

How to make a claim

pensions category

How to make a response

More from the Working, jobs and

#### Introduction

Complete and submit an ET3 response form when someone makes an employment tribunal claim against you.

employment tribunal claim against you.

If you're a legal professional or another type of professional who received a 16-digit reference number in your response pack, you can <u>respond</u> online using a MyHMCTS account.

#### What you need for this form

- · your case number (on the letter we sent you)
- claimant's employment start and end dates, hours of work and period of notice
- · details of claimant's pay and benefits, before and after tax
- any Acas Early Conciliation details you may have, such as the certificate number.
- a description of your response to the claim, including dates and the people involved
- · details of your representative, like a solicitor, if you have one

#### How to fill in the form

- your information will not be saved if you spend longer than 1 hour on a single page
- you can review and edit your answers before you submit the form
- . you can save a PDF of your form after you submit it

#### Data Protection Act 1998

We will send a copy of this form to the claimant and the Advisory, Conciliation and Arbitration Service (ACAS). We will up the information you give us on this form onto a computer. This helps us to monitor progress and produce statistics. Information provided on this form is passed to the Department for Business, Innovation and Skills to assist research into the use and effectiveness of employment tribunals.

Start now

Return to my response

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# **Saving Your Response**

This page provides the user with a unique **Save and return** number to access their ET3 form later.

#### **Partner Tip:**

- Encourage users to note down or print their Save and return number.
- Suggest entering an email address to receive the number and reset their memorable word if needed.
- Guide them to create a memorable word they can easily recall for future access.

Once complete, direct them to click Save and continue to proceed.



**Employment Tribunals** 

## Saving your response

Your save and return number

HTJW-Z8M1

You can save your response and return to it at any time. You will need your save and return number (above), and memorable word, which you create below. If you enter your email address you will be able to reset your memorable word if you forget it.

| inter your email address to r<br>optional)                   | eceive your save and return number                |
|--------------------------------------------------------------|---------------------------------------------------|
| reate your memorable word                                    | i                                                 |
| fyou have entered your email<br>our memorable word if you fo | address above, you will be able to reset orget it |
|                                                              |                                                   |
| <u>Print this page</u> to have a copy eference               | of your save and return number for                |
| Save and continue                                            |                                                   |

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## Respondent's Details

This page collects details about the individual, company, or organisation responding to the claim.

## **Partner Tip:**

- Guide users to fill in the respondent's name, address, and contact details accurately.
- Highlight optional fields, such as the company number and the type of employer, if applicable.
- Ensure users select their preferred contact method (email or post) and indicate if they can participate in hearings via phone or video.
- If the organisation has more than one site in Great Britain, ensure the correct response is selected.

Remind users to double-check their entries before clicking **Save** and continue.



| Respondent's De                                                | etails                             | Your response           |
|----------------------------------------------------------------|------------------------------------|-------------------------|
|                                                                | 0.00000000                         | Save and complete later |
| Case number                                                    |                                    |                         |
| 2000                                                           |                                    |                         |
| Name of Individual, company or                                 | organisation                       |                         |
|                                                                |                                    |                         |
| Enter the company number, if ag                                | pplicable (optional)               |                         |
|                                                                |                                    |                         |
| What type of employer is the res                               | spondent ? (optional)              |                         |
| Please select                                                  | ¥                                  |                         |
| Title (optional)                                               |                                    |                         |
| Ptease select                                                  |                                    |                         |
|                                                                |                                    |                         |
| Full name of contact (optional)<br>For example, John Smith     |                                    |                         |
|                                                                |                                    |                         |
| Building name or number                                        |                                    |                         |
| bullong name of number                                         |                                    |                         |
| n remain                                                       |                                    |                         |
| Street                                                         |                                    |                         |
|                                                                |                                    |                         |
| Town/City                                                      |                                    |                         |
|                                                                |                                    |                         |
| County (optional)                                              |                                    |                         |
|                                                                |                                    |                         |
| Postcode                                                       |                                    |                         |
|                                                                |                                    |                         |
| Document exchange (DX) numb                                    |                                    |                         |
| Document exchange (DX) numb                                    | per (optional)                     |                         |
|                                                                |                                    |                         |
| Contact number (optional)                                      |                                    |                         |
|                                                                |                                    |                         |
| Mobile number (optional)  If different to your primary contact |                                    |                         |
| it different to your primary contac                            | Thumber                            |                         |
| -                                                              |                                    |                         |
| How would you prefer us to cont                                | tact you? (optional)               |                         |
| Email Email                                                    |                                    |                         |
| Post                                                           |                                    |                         |
| Would you be able to take part in                              | n hearings by siden and phor-3     |                         |
| Yes, I can take part in phor                                   |                                    |                         |
|                                                                |                                    |                         |
| Yes, I can take part in video                                  | o hearings                         |                         |
| How many people does this orga                                 | anisation employ in Great Britain? |                         |
| (optional)<br>For example, 10                                  |                                    |                         |
|                                                                |                                    |                         |
|                                                                |                                    |                         |

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## Claimant's Details

This page allows respondents to review and confirm or dispute details provided by the claimant about their employment and Early Conciliation with Acas.

## **Partner Tip:**

- Guide users to confirm or dispute the claimant's information about Early Conciliation, employment dates, job title, or current employment status.
- If they disagree with any details, show them where to provide a clear explanation or the correct information in the optional text boxes.
- Ensure users carefully review all sections before proceeding.

Encourage users to check their entries and select Save and continue once complete.



GOV.UK **Employment Tribunals** Claimant's details Claimant's full name (optional) Do you agree with the details given by the claimant about Early Conciliation with Acas? (optional) Why do you disagree with the claimant? (optional) Are the dates of employment given by the claimant correct? (optional When their employment started (optional) For example, 31 03 2010 When their employment ended or will end (optional) For example, 0112 2017 Why do you disagree with the dates given by the claimant (optional)

| Is their | employment continuing? (optional |
|----------|----------------------------------|
| •        | Yes                              |
| -        |                                  |

| 0 | No             |
|---|----------------|
| 0 | Not applicable |

| is the claimant's description of their job or job title correct? (antional) |
|-----------------------------------------------------------------------------|

| 0 | Yes |
|---|-----|
| 0 | No  |

|     | - |   |    |
|-----|---|---|----|
| - ( | • | N | Ò. |
| ١,  |   |   |    |

| Not applica |  |
|-------------|--|
|             |  |
|             |  |

## Claimant's Earnings and Benefits

This page allows respondents to confirm or dispute the claimant's details regarding their work hours, pay, and benefits.

### **Partner Tip:**

- Show users where to review the claimant's information on work hours, pay before and after tax, and any benefits (e.g., pensions or medical insurance).
- If the respondent disagrees, guide them to provide correct details in the text boxes provided. Remind them the details will need to be the same as on the claimant's payslips.
- Remind users that optional sections can be left blank if not applicable.

Encourage users to carefully check all entries before selecting **Save and continue**.











# **Defend The Claim**

This page asks respondents to confirm if they intend to defend the claim and provide supporting facts if applicable.

### **Partner Tip:**

- Guide users to select Yes or No depending on whether they intend to defend the claim.
- If defending, show them where to enter the key facts they rely on or upload a document with additional details.
- Encourage them to take their time as their answer is very important. They should respond to each paragraph of the claimant's claim details, explaining what they disagree with and why. It might be useful for them to refer the claimant's employment contract or their employee handbook.
- Remind users the text box is optional but can accommodate up to 2,500 characters.

Encourage users to review their input very carefully before selecting **Save and continue**.







**Employment Tribunals** 

Your response
Save and complete later

| Do you defend the claim ?                                                                                                                                                        |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Yes                                                                                                                                                                              |  |
| ○ No                                                                                                                                                                             |  |
| Tell us the facts that you rely on to defend the claim. (option You can enter up to 2500 characters. You can upload a docume additional details before you submit your response. |  |
|                                                                                                                                                                                  |  |
|                                                                                                                                                                                  |  |
|                                                                                                                                                                                  |  |
|                                                                                                                                                                                  |  |

Save and continue

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# Do You Have a Representative?

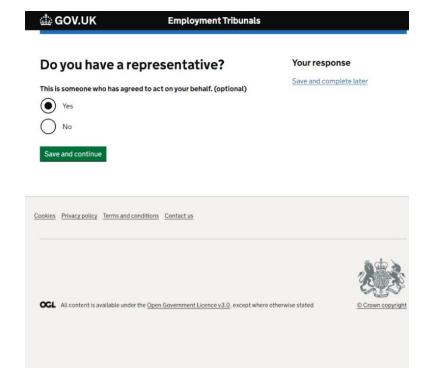


This page asks respondents whether they have someone acting on their behalf during the tribunal process.

### **Partner Tip:**

- Guide users to select **Yes** if they have a representative, such as a solicitor, and **No** if they do not.
- Remind them this is optional and can be left blank if they are unsure.

Direct them to click **Save and continue** to proceed.





## Your Representative's Details

This page collects information about the representative acting on the respondent's behalf.

#### **Partner Tip:**

- Assist users in selecting the **Type of Representative** from the provided list (e.g., solicitor, union).
- Guide them to fill in the representative's name, contact details, and organisation, if applicable.
- Show users where to specify their preferred communication method (email or post) and confirm if their representative can participate in phone or video hearings.

Encourage users to review the details before clicking Save and continue.

| Citizen's advice bureau                                            |
|--------------------------------------------------------------------|
| Free representation unit                                           |
| Law centre                                                         |
| Union                                                              |
| Solicitor                                                          |
| Private individual                                                 |
| Trade association                                                  |
| Other                                                              |
| Name of the representative's organisation (optional)               |
| Tellie of the representative a organization (optional)             |
| Representative's full name                                         |
|                                                                    |
| Building name or number                                            |
|                                                                    |
| Street                                                             |
|                                                                    |
| Town/City                                                          |
|                                                                    |
| County (optional)                                                  |
|                                                                    |
| Postcode                                                           |
|                                                                    |
| Phone number (optional)                                            |
|                                                                    |
| Mobile number (optional)                                           |
|                                                                    |
| Document exchange (DX) number (optional)                           |
|                                                                    |
| Reference (optional)                                               |
| How would you prefer us to contact your representative? (optional) |
| Email Email                                                        |
| Post                                                               |
|                                                                    |
| Would you be able to take part in hearings by video and phone?     |
| Yes, I can take part in phone hearings                             |
| Yes, I can take part in video hearings                             |
| Save and continue                                                  |
|                                                                    |
|                                                                    |
|                                                                    |





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Your Representative's Details

Fill in this section if someone has agreed to represent you. If you do fill this is we will in future only contact your representative and not you



This page asks if the respondent has a disability and allows them to specify any assistance they may require during the tribunal process.

### **Partner Tip:**

- Guide users to select Yes, No, or Not applicable depending on their circumstances.
- If they select **Yes**, direct them to describe their disability and specify the assistance needed, such as adjustments for hearings. They may need documents in a different format, longer breaks or shorter days, the hearing to be held in an accessible space, or a sign language interpreter.
- Highlight that they can include additional details by uploading a document at the end of the form.

Encourage users to check their entry before clicking Save and continue.





**Employment Tribunals** 

#### Disability

Your response Save and complete later

| Do you h | ave a disab | ility? (opt | tional) |
|----------|-------------|-------------|---------|
|----------|-------------|-------------|---------|

| _ | _ |   |  |
|---|---|---|--|
| _ |   | ١ |  |
|   | 8 | ٦ |  |
|   |   |   |  |

It would help us if you could say what this disability is and tell us what assistance, if any, you will need as your claim progresses through the system, including for any hearings that maybe held at tribunal

PLEASE NOTE - You will only be able to enter a limited amount of information here (up to 350 characters) if you would like to include more please use our upload facility at the end of this form where you will be able to upload a Rich Text Format (.rtf) file.

| I |  |  |
|---|--|--|
| I |  |  |
|   |  |  |
|   |  |  |
|   |  |  |

Save and continue

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## **Employer Contract Claim**

This page allows respondents to indicate if they wish to make an Employer Contract Claim, providing background details if applicable. Employers can only make this kind of counterclaim if the employee has made a contract claim.

#### **Partner Tip:**

- Direct users to select **Yes** or **No** to indicate whether they are making an Employer Contract Claim.
- If they select **Yes**, show them where to enter the background and details of their claim, including key dates.
- Remind them they can upload a document with additional information if needed, especially if their explanation exceeds the provided space.

Encourage users to check their input carefully before clicking Save and continue.





**Employment Tribunals** 

#### **Employer Contract Claim**

Your response

Save and complete late

Only available in limited circumstances where the Claimant has made a contract claim (see guidance)

| Do you wish to make an Emplo | yer Contract Claim? | (optional) |
|------------------------------|---------------------|------------|
|------------------------------|---------------------|------------|

#### Please set out the background and details of your claim below, which should include all important dates (optional)

See guidance for more information on what details should be included. PLEASE NOTE - You will only be able to enter a limited amount of information here (up to 4500 characters) if you would like to include more please use our upload facility at the end of this form where you will be able to upload a Rich Text Format (.rtf) file. If you exceed 50 lines you will not receive full details of your claim in the PDF returned to you on submission of the form.

Save and continue

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## **Additional Information**



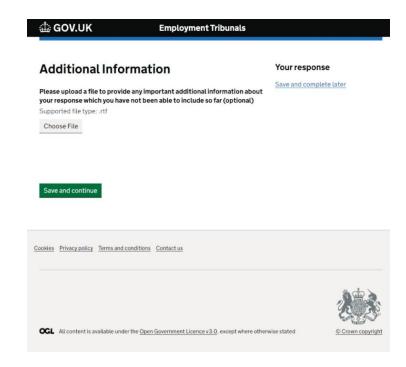
This page allows respondents to upload any extra information that may support their response and has not been included so far.

#### **Partner Tip:**

- Inform users this step is optional and they only need to upload a file if they have additional details to provide.
- Ensure they use the supported file format (.rtf) and click

  Choose File to select their document.
- Remind users to verify the upload before clicking Save and continue.

If no additional information is needed, they can proceed without uploading anything.





## **Check Your Response**

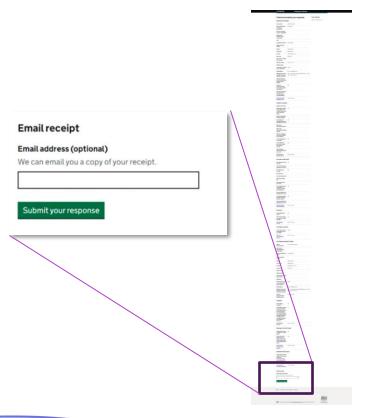


This page provides a complete summary of the information entered in the ET3 form. Support the user to review all sections carefully before submitting. It may be hard to change the substance of their defence later.

#### **Partner Tip:**

- Encourage users to scroll through the entire summary or read it aloud to them, checking each section for accuracy and completeness.
- If corrections are needed, show them how to use the **Change** links next to each section to go back and make edits.
- Remind users that this is their final opportunity to review the details before submission.

Once everything is confirmed, direct users to click **Submit response**.





# Final Submission and Feedback Page

This page confirms the ET3 response has been successfully submitted and provides key details about the submission.

#### **Partner Tip:**

- Highlight the submission number and encourage users to save it for future reference.
- Inform users where their response has been sent and how to contact the relevant tribunal office if needed, including the email address and phone number provided.
- Remind users they can download a PDF of their completed form when it becomes available.
- Encourage users to provide feedback on the service by clicking Give feedback if they wish.

Reassure users that no further action is needed unless instructed. They should regularly check their post or their email (whichever they selected as their preference) for updates.



**Employment Tribunals** 

#### Response submitted

Your submission number

#### 182000001100

In view of the current situation with COVID-19, updated guidance from HMCTS is available here:

https://www.gov.uk/guidance/coronavirus-covid-19-courts-and-tribunalsplanning-and-preparation

We've sent a copy of your response to et.citizen1@hmcts.net. You can also Not quite ready yet - a PDF version of your completed form will be available for download shortly.

Your response submitted on 12 September 2024, has been sent to 4th Floor, City Exchange, 11 Albion Street, Leeds LS1 5ES

If you need to contact them, please email <u>leedset@justice.gov.uk</u> or call 0113 245 9741

You will need to include or quote your case number.

#### Your feedback

We'd like your feedback on this service so we can improve it.



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# Any questions?



Thank you!